

**SECTION .0400 - REGISTRATION OF SECURITIES**

<b>18 NCAC 06A .0401</b>	<b>FORMS</b>
<b>18 NCAC 06A .0402</b>	<b>FEES</b>
<b>18 NCAC 06A .0403</b>	<b>SPONSORSHIP BY DEALER</b>
<b>18 NCAC 06A .0404</b>	<b>METHOD OF SPONSORSHIP</b>
<b>18 NCAC 06A .0405</b>	<b>CONFORMATION OF REGISTRATION</b>
<b>18 NCAC 06A .0406</b>	<b>FAILURE TO FURNISH INFORMATION</b>
<b>18 NCAC 06A .0407</b>	<b>PUBLIC INFORMATION</b>

*History Note:* Authority G.S. 78A-2(2); 78A-28(b); 78A-29(b)(2); 78A-49(a); 78A-50(c); 132-1.1;  
Eff. February 1, 1976;  
Repealed Eff. April 1, 1981.